

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1418
JRU

In re Application of : Ramadan et al.

Serial No. : 09/838,862

Examiner: Rudy, Andrew J

Filed : April 20, 2001

Group Art Unit: 1418

For : COUPLER-MULTIPLEXER PERMUTATION SWITCH MARKET

RESPONSE TO RESTRICTION REQUIREMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on

February 4, 2005

Date of Deposit


Eric J. Farag

51,259

PTO Registration No.

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

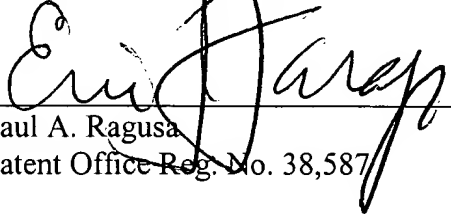
In the Restriction Requirement dated October 5, 2004, the Examiner indicated that the above-identified application is allegedly directed to more than one invention, and has required Applicants to elect one of the following inventions:

- I. Claims 1-20 and 30, drawn to a permutation switch, classified in class 385, subclass 16.
- II. Claims 21-29, drawn to a method for switching WDM signals, classified in class 398, subclass 82.

Applicants hereby elect Group I, claims 1-20 and 30, without traverse. Applicants reserve the right to file divisional applications to the claims of the unelected groups.

Applicants have enclosed a check for \$225.00 in payment of a two-month extension of time. If any additional fee is required, the Commissioner is authorized to charge payment of such fee to Deposit Account No. 02-4377.

Respectfully submitted,



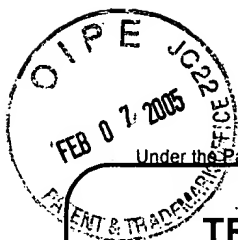
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Patent Office Reg. No. 38,587

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

09/838,862

Filing Date

April 20, 2001

First Named Inventor

Tarek Ramadan

Art Unit

1418

Examiner Name

Andrew J. Rudy

Attorney Docket Number

AP32562 (070050.1370)

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CD

Remarks



After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Baker Botts LLP

Customer No.

21003

Signature

Printed name

Eric J. Faragi

Date

02/04/2005

Reg. No.

51,259

CERTIFICATE OF TRANSMISSION/MAILING

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Signature

Typed or printed name

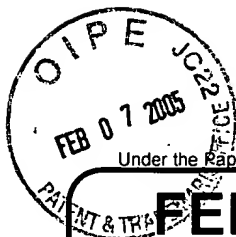
Eric J. Faragi

Date

02/04/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 225

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | 09/838,862 |
| Filing Date | April 20, 2001 |
| First Named Inventor | Tarek Ramadan |
| Examiner Name | Andrew J. Rudy |
| Art Unit | 1418 |
| Attorney Docket No. | AP32562 (070050.1370) |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 02-4377

Deposit Account Name Baker Botts L.L.P.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 1001 | 2001 | Utility filing fee | |
| 1002 | 2002 | Design filing fee | |
| 1003 | 2003 | Plant filing fee | |
| 1004 | 2004 | Reissue filing fee | |
| 1005 | 2005 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$) 0 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | -20 = | X | =0 |
| Independent Claims | -3 = | X | =0 |
| Multiple Dependent | | | =0 |

| Large Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------|-----------------------|--|
| 1202 | 2202 | Claims in excess of 20 |
| 1201 | 2201 | Independent claims in excess of 3 |
| 1203 | 2203 | Multiple dependent claim, if not paid |
| 1204 | 2204 | ** Reissue independent claims over original patent |
| 1205 | 2205 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee Code | Fee Description | Fee Paid |
|---|----------|--|----------|
| 1051 | 2051 | Surcharge - late filing fee or oath | |
| 1052 | 2052 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 1053 | Non-English specification | |
| 1812 | 1812 | For filing a request for ex parte reexamination | |
| 1804 | 1804 | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1805 | Requesting publication of SIR after Examiner action | |
| 1251 | 2251 | Extension for reply within first month | |
| 1252 | 2252 | Extension for reply within second month | |
| 1253 | 2253 | Extension for reply within third month | |
| 1254 | 2254 | Extension for reply within fourth month | |
| 1255 | 2255 | Extension for reply within fifth month | |
| 1401 | 2401 | Notice of Appeal | |
| 1402 | 2402 | Filing a brief in support of an appeal | |
| 1403 | 2403 | Request for oral hearing | |
| 1451 | 1451 | Petition to institute a public use proceeding | |
| 1452 | 2452 | Petition to revive - unavoidable | |
| 1453 | 2453 | Petition to revive - unintentional | |
| 1501 | 2501 | Utility issue fee (or reissue) | |
| 1502 | 2502 | Design issue fee | |
| 1503 | 2503 | Plant issue fee | |
| 1460 | 1460 | Petitions to the Commissioner | |
| 1807 | 1807 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 1806 | Submission of Information Disclosure Stmt | |
| 8021 | 8021 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 2809 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 2810 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 2801 | Request for Continued Examination (RCE) | |
| 1802 | 1802 | Request for expedited examination of a design application | |
| Other fee (specify) Restriction Requirement 2 Mo. Extension | | | 225.00 |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 225

SUBMITTED BY

(Complete (if applicable))

| | | | | | |
|-------------------|----------------|-----------------------------------|------------------|-----------|--------------|
| Name (Print/Type) | Eric J. Faragi | Registration No. (Attorney/Agent) | 51,259 | Telephone | 212 408-2591 |
| Signature | | Date | February 4, 2005 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.